



Document Type:	AMG Policy	Classification:	Public
Title:	Supplier Code of Conduct	Publication date:	August 2024
Department:	Purchasing		
Policy Owner:	Chief Compliance Officer/ Head HSE/ Head Workforce Reporting		Page: 1 of 5

## SUPPLIER CODE OF CONDUCT

### 供应商行为准则

In the event of any discrepancies, the English version of the guidelines shall prevail. The chinese translation is provided for reference purposes only.

如有任何差异，应以政策的英文版本为准。中文译本只供参考之用。

#### 1. PURPOSE 目的

AMG and its group companies ( “**AMG**” ) are operating in a global market. Our supplier relationships are essential in building economic value and promoting social and environmental best practices. AMG is committed to conducting its business ethically, sustainably, and responsibly.

AMG及其集团公司（ “**AMG**” ）在全球市场运营。我们的供应商关系对于创造经济价值和促进社会和环境最佳实践至关重要。AMG致力于以道德、可持续和负责任的方式开展业务。

Our core Values – safety, value creation, respect, integrity and enabling CO<sub>2</sub> reduction – form the basis how we conduct our operations and how we deal with our employees, business partners and stakeholders. Our Supplier Code of Conduct (“**Supplier Code**”) sets out our expectations for Suppliers in the areas of amongst others business integrity and anti-corruption, human rights, health and safety and environmental management.

我们的核心价值观——安全、创造价值、尊重、诚信和减少二氧化碳排放——构成了我们开展业务的基础，也是我们对待员工、业务伙伴和利益相关者的基础。我们的供应商行为准则（ “供应商准则” ）规定了我们在商业诚信和反腐败、人权、健康和安全以及环境管理等领域对供应商的期望。

AMG engages with its Suppliers respectfully and ethically. It selects Suppliers based on specifications, price, quality, service level and technology, and the principles outlined in this Supplier Code.

AMG以尊重和道德的方式与供应商合作。它根据规格、价格、质量、服务水平和技术以及本供应商准则中概述的原则选择供应商。



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## 2. SCOPE AND APPLICABILITY 适用范围及适用性

This Supplier Code applies to all suppliers, vendors, contractors, including temporary workers, consultants, agents and other providers of goods and services who do business with or on behalf of one of group entities that are part of the AMG Group (“**Suppliers**”).

本《供应商守则》适用于所有与AMG集团下属实体（以下简称“供应商”）开展业务或代表其开展业务的供应商、销售商、承包商，包括临时工、顾问、代理商和其他商品和服务提供商。

## 3. ROLES AND RESPONSIBILITIES 角色和职责

- The Management Board has adopted this Supplier Code and is accountable for its implementation. It shall review this Supplier Code and the implementation of this Supplier Code regularly in consultation with the Supervisory Board.

管理委员会已通过本《供应商准则》，并对其实施负责。在与监事会协商的情况下，应定期审查本《供应商守则》以及本《供应商守则》的实施情况。

- Suppliers are expected to meet and comply with the supplier principles (“**Principles**”) set out in this Supplier Code and are expected to communicate and apply the Principles throughout their own supply chain.

供应商应满足并遵守本《供应商守则》中规定的供应商原则（“原则”），并应在其整个供应链中沟通和应用这些原则。

- AMG’s group companies manage the contractual relations with their Suppliers in accordance with local, state, national and international laws and regulations and by adhering to this Supplier Code.

AMG集团公司根据当地、州、国家和国际法律法规，并遵守本供应商守则，管理与供应商的合同关系。

## 4. PRINCIPLES 原则

AMG expects its Suppliers to conduct their business responsibly, with integrity, honesty and transparency and to adhere to the following Principles:

AMG期望其供应商以负责任、正直、诚实和透明的方式开展业务，并遵守以下原则：

### 4.1 Applicable regulations and international standards

**适用的法规和国际标准**



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AMG expects its Suppliers to:

AMG希望其供应商：

- be aware and comply with all applicable laws and regulations of the countries of their operation; and

了解并遵守业务所在国的所有适用法律法规；和

- adhere to relevant international standards, such as UN Guiding Principles on Business and Human Rights, ILO Declaration on Fundamental Principles and Rights at Work, and OECD Guidelines for Multinational Enterprises and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.

遵守相关国际标准，如《联合国工商业与人权指导原则》、《国际劳工组织工作中基本原则和权利宣言》、《经合组织跨国企业指南》、《经合组织受冲突影响和高风险地区矿产负责任供应链尽职调查指南》等。

#### 4.2 Confidentiality and Privacy 保密与隐私

AMG expects its Suppliers to:

AMG希望其供应商：

- maintain the confidentiality of all of AMG's and its partners' confidential information; and  
维护AMG及其合作伙伴所有机密信息的机密性；和
- take all reasonable and necessary precautions to safeguard AMG's and its partners' information to which it and its employees have access; and  
采取一切合理和必要的预防措施，保护AMG及其合作伙伴及其员工可以访问的信息；和
- comply with all (local and international) applicable privacy and data protection legislation.  
遵守所有（本地和国际）适用的隐私和数据保护法规。

#### 4.3 Human rights 人权

AMG expects its Suppliers to respect human rights in line with international standards, including:

AMG希望其供应商按照国际标准尊重人权，包括：

- to respect internationally recognized human rights;  
尊重国际公认的人权；
- to support the abolition of all kinds of child labor;  
支持废除各种童工；



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- to oppose the use of forced or unlawful compulsory labor and to abide by modern anti-slavery legislation and reject any form of human trafficking;  
反对使用强迫或非法强制劳动，遵守现代反奴隶制立法，拒绝任何形式的人口贩运；
- to uphold the freedom of association and the effective recognition of the right to collective bargaining;  
维护结社自由和有效承认集体谈判权；
- to commit to a workplace free of harassment and abuse and to support eliminating discrimination regarding employment and occupation; and  
致力于一个没有骚扰和虐待的工作场所，并支持消除就业和职业歧视；和
- to make employment decisions solely based on qualifications, skills, performance and experience.  
仅根据资格、技能、表现和经验做出雇佣决定。

#### 4.4 Conflict minerals 冲突矿产

Suppliers are expected to adhere to local and international regulations and standards concerning the sourcing and supply from materials originating from conflict or high-risk areas.

供应商应遵守有关从冲突地区或高风险地区采购和供应材料的当地和国际法规和标准。

#### 4.5 Health and safety 健康与安全

AMG expects its Suppliers to foster a safe and healthy working environment and to:

AMG期望其供应商营造安全和健康的工作环境，并：

- have established internal programs to protect the health and safety of their staff, subcontractors and residents, through a documented safety management system and related incidence reporting, preventive actions and risk assessments that identify hazards and assess and control risk related to their specific activity;  
通过文件化的安全管理体系和相关事故报告、预防措施和风险评估，建立了内部方案，以保护员工、分包商和居民的健康和安全，以识别危害，评估和控制与其特定活动相关的风险；
- maintain emergency plans to minimize harm to life, environment, and property;  
维持应急计划，以尽量减少对生命、环境和财产的危害；
- comply with applicable laws governing work hours and overtime; and  
遵守有关工作时间和加班的适用法律；和



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- provide access to safe drinking water, sanitation and hygiene at the workplace, including adequate restrooms and handwashing facilities; fire exits and essential fire safety equipment and access to emergency response services.

在工作场所提供安全饮用水、环境卫生和个人卫生，包括适当的卫生间和洗手设施；消防出口和必要的消防安全设备以及获得应急服务的途径。

#### 4.6 Environment 环境

AMG expects its Suppliers to:

AMG希望其供应商：

- promote environmental responsibility in line with prevailing environmental standards, including responsible use of energy and water;  
根据现行环境标准促进环境责任，包括负责任地使用能源和水；

- promote circularity, to minimize and responsibly dispose of or recycle hazardous and non-hazardous waste;  
促进循环，尽量减少和负责任地处置或回收危险和非危险废物；

- establish greenhouse gas emissions monitoring systems, and reduction targets and plans of its own operations as well as - in due time - its scope 3 greenhouse gas emissions; and  
建立温室气体排放监测系统，制定自身业务以及适时减少第三类温室气体排放的目标和计划；  
和

- have procedures in place to identify environmental impacts, prevent environmental incidents and have response plans to mitigate the effects should such an incident occur, through a documented environmental management system.

通过形成文件的环境管理体系，制定程序以识别环境影响，预防环境事件，并制定应对计划以减轻此类事件发生时的影响。

#### 4.7 Communities 社区

AMG Suppliers are encouraged to maintain and foster social and economic development and contribute to the sustainability of the host communities, including indigenous communities and if applicable the right to free, prior, and informed consent from the communities in which they operate. AMG suppliers are expected to:

鼓励AMG供应商维护和促进社会 and 经济发展，为包括土著社区在内的东道社区的可持续发展做出贡献，并在适用的情况下获得其经营所在社区的自由、事先和知情同意的权利。AMG供应商应：



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- treat members of the host community in a transparent way with dignity and respect; and  
以透明的方式对待接待社区的成员，并给予他们尊严和尊重；和
- have zero tolerance for threatening behavior, violence, coercion, sexual exploitation or abuse of members of communities;  
对社区成员的威胁行为、暴力、胁迫、性剥削或虐待采取零容忍态度；
- engage with local communities to understand and address their perspectives on (the development of) local operations, fostering transparent planning and communication, striving for mutual agreement on how to achieve sustainable local development.  
与当地社区接触，了解并解决他们对当地业务（发展）的看法，促进透明的规划和沟通，努力就如何实现当地可持续发展达成共识。

**4.8 Anti-corruption and anti-competition 反腐败和反竞争**

AMG expects its Suppliers to compete fairly and to:

AMG希望其供应商公平竞争，并：

- not tolerate corruption in any of its forms, including extortion and bribery, with customers, suppliers, government officials or other third parties;  
不容忍任何形式的腐败，包括对客户、供应商、政府官员或其他第三方的敲诈和贿赂；
- not offer or promise or give anything of value to any public official or any person or entity in the private or commercial sector, directly or indirectly, to influence them in their work or in an attempt to obtain or retain business or a business advantage or a personal benefit;  
不直接或间接向任何公职人员或私营或商业部门的任何个人或实体提供、承诺或给予任何有价值的东西，以影响他们的工作，或试图获得或保留业务、商业优势或个人利益；
- not offer anything of value to AMG employees that could inappropriately influence AMG's business decision or gain an unfair advantage;  
不向AMG员工提供任何可能对AMG的业务决策产生不当影响或获得不公平优势的有价值的东西；
- disclose any form of conflict of interest concerning the procurement process with AMG;  
披露与AMG在采购过程中的任何形式的利益冲突；
- to uphold high standards of personal and business ethics;  
保持高标准的个人和商业道德；
- not engage in any behavior that effects fair competition, e.g., not to discuss a company's current or future pricing, not to share price or cost information.





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不参与任何影响公平竞争的行为，例如，不讨论公司当前或未来的定价，不分享价格或成本信息。

#### 4.9 Compliance and transparency 合规性和透明度

AMG expects its Suppliers:

AMG期望其供应商：

- to maintain accurate financial books and business records, including with respect to all invoices submitted to AMG for payment or reimbursement, in line with generally accepted accounting principles in the respective jurisdictions in which the supplier operates;  
维护准确的财务账簿和业务记录，包括所有提交给AMG付款或报销的发票，符合供应商经营所在司法管辖区的公认会计原则；
- to deliver products and services that meet applicable quality and safety standards;  
提供符合质量和安全标准的产品和服务；
- to comply with this Supplier Code by establishing appropriate risk and opportunity management processes to assess impacts of their activities and provide effective remedy when requested by AMG;  
通过建立适当的风险和机会管理流程来评估其活动的影响，并在AMG要求时提供有效的补救措施，从而遵守本供应商准则；
- to respond promptly to AMG's reasonable inquiries concerning compliance with this Supplier Code;  
及时回应AMG关于遵守本《供应商守则》的合理询问；
- direct its employees to use AMG's Speak Up & Reporting procedure as published on its website in case that is needed;  
指导员工在必要时使用AMG网站上公布的举报程序；
- to timely respond to requests for data from AMG in view of evolving national and international ESG reporting standards, which, amongst others, require AMG to report its scope 1, 2 and 3 greenhouse gas emissions, and;  
to acknowledge and participate in AMG's supplier engagement initiatives, whether conducted online, on-site, or off-site, to enhance performance in alignment with this Supplier Code.  
根据不断发展的国家和国际ESG报告标准，及时响应AMG对数据的要求，其中包括要求AMG报告其范围1、2和3的温室气体排放；



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认可并参与AMG的供应商参与计划，无论是在线、现场还是非现场进行的，以根据本供应商准则提高绩效。

- to respect all appropriate export control and economic sanctions laws and regulations of all relevant countries. No Supplier shall knowingly or through willful ignorance sell, export, re-export or deliver prohibited goods, software, services and technology to certain restricted destinations, entities and/or individuals.

尊重所有相关国家的所有适当的出口管制和经济制裁法律法规。任何供应商不得故意或故意不知情的情况下向某些受限制的目的地、实体和/或个人销售、出口、再出口或交付违禁货物、软件、服务和技术。

**5. COMPLIANCE AND ACKNOWLEDGEMENT 遵守及确认**

Suppliers are required to acknowledge that they have received, read and understood the Supplier Code. Suppliers are expected to inform AMG without delay of any non-compliance by Supplier with any of the Principles set forth herein. In such case or in the event AMG discovers such non-compliance by Supplier, Supplier shall make himself available to discuss and agree with AMG appropriate remedial actions by Supplier.

供应商必须确认他们已收到、阅读并理解《供应商守则》。供应商应立即通知AMG任何不遵守本协议规定的任何原则的情况。在这种情况下，或如果AMG发现供应商存在此类不合规行为，则供应商应随时与AMG讨论并同意供应商采取的适当补救措施。

**6. AMG REFERENCE POLICIES 参考策略**

Other AMG policies and AMG documents that are related to the topic of this Policy are, amongst others:

与本政策主题相关的其他AMG政策和AMG文件包括：

- AMG Business Code of Conduct    AMG商业行为准则
- AMG Human Rights Policy    AMG人权政策
- AMG Health and Safety Policy    AMG 健康和安全政策
- AMG Environmental Policy    AMG环境政策
- AMG Anti-Bribery, anti-corruption and conflict of interest Policy  
AMG反贿赂、反腐败和利益冲突政策





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- AMG Speak Up & Reporting Policy    AMG发言和报告政策

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